# Modular Minimization of Finite State Machines 

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#### Abstract

This work presents a modular technique for minimizing a finitestate machine (FSM) while preserving its equivalence to the original system. Being modular, the minimization technique should consume less time and space. Preserving equivalence, the resulting minimized model can be employed in both temporal logic model checking and sequential equivalence checking, thus reducing their time and space consumption.

Most systems have a natural modular structure, and we suggest using this structure in the minimization of their model. The complexity of minimizing a single module can be exponentially smaller than that of minimizing the entire system. (The actual reduction in complexity depends on the module connectivity to the other parts of the system.) Thus the method has great potential.

This modular algorithm has been implemented on an Intel system in Intel Haifa, and it has been tested on real hardware designs.


## 1 Introduction

The fast development of the hardware and software industry has increase the need for formal verification tools and techniques. Two widely used formal verification methods are temporal logic model checking and sequential equivalence checking. Both model checking and equivalence checking are fully automatic. However, they both suffer from the state explosion problem, that is, their space requirements are high and limit their applicability to large systems.

Many approaches for overcoming the state explosion problem have been suggested, including abstraction, partial order reduction, modular verification methods, and symmetry [6]. All are aimed at reducing the size of the
model to which formal verification methods are applied, thus extending their applicability to larger systems. When reduction methods are applied, the verification technique has to be able to deduce properties of the system by verifying the reduced model. We therefore require the result of the reduction to be equivalent to the original model.

Two of the most commonly used equivalence relations are language equivalence and bisimulation [18]. The former is suitable for equivalence checking as well as model checking for the linear-time logic LTL [19]. The latter is suitable for model checking of the expressive $\mu$-calculus [12] logic and the widely used logics CTL [3, 7] and LTL.

Minimizing a model with respect to language equivalence is PSPACEcomplete [21], while minimizing a model with respect to bisimulation is polynomial. Thus, bisimulation minimization appears to be more tractable. However, computing bisimulation minimization in a naive way may still be quite costly in terms of time and space [9]. This motivated the development of more refined reduction methods for a variety of equivalence relations. We describe some of these works below.

The algorithm in [14] minimizes models with respect to bisimulation. In order to improve efficiency, the algorithm refers only to reachable states and computes equivalence classes for bisimulation instead of pairs of equivalent states. This appears to consume less memory for BDD-based [4] implementations. In [8], the algorithm presented in [14] is applied to the intersection of the model with an automaton representing the property that should be satisfied by the model. In [5], a reduction with respect to symmetry equivalence is performed. The symmetry equivalence is a bisimulation equivalence, but not necessarily the maximal one. [5] reports that computing this reduction is more efficient in the BDD framework than reduction with respect to bisimulation.

Other works exploit modularity for reduction. The modular reduction in [1] preserves a given formula which should be checked for truth in the model. This method can result in a small model; however, since it preserves a single formula, it cannot be used for equivalence checking. In [2], the equivalence relation is a combination of language equivalence and fairness constraints. Since computing this relation is PSPACE-complete, an approximation equivalence relation is computed and the quotient model is defined with respect to it. [10] presents an algorithm that constructs an abstract model of a system through a sequence of approximations, where the final approximation is equivalent to the original system with respect to the specification language. The approximations are constructed according
to interface specifications given by the user. [20] suggests decomposing the model, reducing each module in separate and composing the result.

### 1.1 Modular minimization

In this paper we present a new modular minimization algorithm that improves the naive modular algorithm. The naive modular algorithm [20] is based on partitioning the system into components. It minimizes the model in iterations. In each iteration two components are selected and composed. Then the result is minimized. This process is repeated until all components are composed to form the full minimized system. The advantages of this approach are:

- Time and space requirements of minimization algorithms depend on the size of the model to which they are applied. By minimizing components instead of the full system, we expect a better overall complexity. Moreover, we will be able to minimize a system in parts even when the problem of minimizing the full system is intractable due to its size.
- It is sometimes impossible to complete the construction of the minimized system due to the size of intermediate components. In such cases, it might still be possible to apply some formal verification procedures to a partially minimized model, composed of minimized and unminimized components.

The improved algorithm we present improves each iteration in the naive algorithm. Given two components, the improved algorithm constructs the minimized model without ever constructing the non-minimized result of the composition. Thus the algorithm avoids the bottleneck of the naive algorithm. [13] presents a similar approach in which, before composing and reducing two components, each component is reduced with respect to the other. However, unlike the improved algorithm, this approach uses the full composition for the reduction of each component. Moreover, the result of composing the two reduced components needs to be further reduced. We present two versions of the improved algorithm. The first is for deterministic systems and the second is for nondeterministic ones. While the version for nondeterministic systems is more general, it has worse complexity. Since deterministic systems are widely used in the hardware industry, a special, more efficient version for these systems is worth developing.

The paper includes an implementation of the improved algorithm, carried out on an Intel verification platform at the sequential equivalence verification

CAD group of Intel design technology in Haifa. We tested our algorithm on real designs. The results imply that this method has real potential in making bisimulation minimization practical.

The rest of the paper is organized as follows: In Section 2 we define the model, model composition, and bisimulation equivalence. Section 3 presents some properties of bisimulation and modularity. Section 4 presents the modular minimization algorithm for deterministic and nondeterministic FSMs. Section 5 describes the implementation and the experimental results. Section 6 presents some conclusions.

## 2 Basic definitions

We model systems as finite-state machines (FSMs) in the form of Moore machines in which the states are labeled with outputs and the edges are labeled with inputs. Such machines are commonly used for modeling hardware designs.

Definition 2.1 [16] An FSM is a tuple $M=<S, S_{0}, I, O, L, R>$ where

- $S$ is a finite set of states.
- $S_{0} \subseteq S$ is a set of initial states.
- I is a finite set of input propositions.
- O is a finite set of output propositions.
- $I \cap O=\emptyset$.
- L is a labeling function that maps each state to the set of output propositions true in that state.
- $R \subseteq S \times 2^{I} \times S$ is the transition relation. We assume that for every $s \in S$ and $i \subseteq I$ there exists at least one state $s^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$.

An FSM is deterministic iff for every state $s$ and $i \subseteq I$ there exists exactly one state $s^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$ and $\left|S_{0}\right|=1$.

Two FSMs are composed only if their outputs are disjoint. There is a transition from a pair of states in the composed FSM if and only if the output of each state matches the input on the transition leaving the other state. This models the input-output connections between the two machines.

Definition 2.2 Let $M_{1}=<S_{1}, S_{01}, I_{1}, O_{1}, L_{1}, R_{1}>$ and $M_{2}=<S_{2}, S_{02}, I_{2}, O_{2}, L_{2}, R_{2}>$ be two FSMs such that $O_{1} \cap O_{2}=\emptyset$. The composition $M=M_{1} \| M_{2}=<S, S_{0}, I, O, L, R>$ is an $F S M$ such that:

- $S=S_{1} \times S_{2}$.
- $S_{0}=S_{01} \times S_{02}$.
- $I=\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$.
- $O=O_{1} \cup O_{2}$.
- $L\left(\left(s_{1}, s_{2}\right)\right)=L_{1}\left(s_{1}\right) \cup L_{2}\left(s_{2}\right)$.
- $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in R$ iff $\left(s_{1},\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}, s_{1}^{\prime}\right) \in R_{1}$ and $\left(s_{2},(i \cup\right.$ $\left.\left.L_{1}\left(s_{1}\right)\right) \cap I_{2}, s_{2}^{\prime}\right) \in R_{2}$.

Lemma 2.3 Let $M_{1}$ and $M_{2}$ be deterministic FSMs. Then the composition $M$ of $M_{1}$ and $M_{2}$ is deterministic as well.

Proof: Obviously, $\left|S_{0}\right|=1$. Let $\left(s_{1}, s_{2}\right)$ be a state in $S$ and $i \subseteq I$ be an input. Let $i_{1}=\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}$ and $i_{2}=\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}$. Since $M_{1}$ is deterministic, there exists exactly one state $s_{1}^{\prime}$ such that $\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$. Similarly, there exists exactly one state $s_{2}^{\prime}$ such that $\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$. By the definition of composition, $\left(s_{1}^{\prime}, s_{2}^{\prime}\right)$ is the only state such that $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in R$.

We now define the basic notion of equivalence that we use in this work, namely, bisimulation.

Definition 2.4 Let $M_{1}=<S_{1}, S_{01}, I_{1}, O_{1}, L_{1}, R_{1}>$ and $M_{2}=<S_{2}, S_{02}, I_{2}, O_{2}, L_{2}, R_{2}>$ be two FSMs such that $O_{1} \cap O_{2} \neq \emptyset$ and $I_{1}=I_{2}$. We say that $M_{1}$ and $M_{2}$ are bisimulation equivalent with respect to $O^{\prime} \subset O_{1} \cap O_{2}$ iff there exists a relation $H \subseteq S_{1} \times S_{2}$ (called a bisimulation relation) such that:

- For every state $s_{01} \in S_{01}$ there exists a state $s_{02} \in S_{02}$ such that $\left(s_{01}, s_{02}\right) \in H$ and for every state $s_{02} \in S_{02}$ there exists a state $s_{01} \in$ $S_{01}$ such that $\left(s_{01}, s_{02}\right) \in H$.
- For every pair $\left(s_{1}, s_{2}\right)$ in $H$ the following three conditions hold:

$$
-L_{1}\left(s_{1}\right) \cap O^{\prime}=L_{2}\left(s_{2}\right) \cap O^{\prime}
$$

- For every $i \subseteq I_{1}$ (recall that $I_{1}=I_{2}$ ), and for every state $s_{1}^{\prime}$ such that $\left(s_{1}, i, s_{1}^{\prime}\right) \in R_{1}$, there exists a state $s_{2}^{\prime}$ such that $\left(s_{2}, i, s_{2}^{\prime}\right) \in$ $R_{2}$ and $\left(s_{1}^{\prime}, s_{2}^{\prime}\right) \in H$.
- For every $i \subseteq I_{2}$, and for every state $s_{2}^{\prime}$ such that $\left(s_{2}, i, s_{2}^{\prime}\right) \in R_{2}$ there exists a state $s_{1}^{\prime}$ such that $\left(s_{1}, i, s_{1}^{\prime}\right) \in R_{1}$ and $\left(s_{1}^{\prime}, s_{2}^{\prime}\right) \in H$.

Proposition 2.5 For every FSMM, let s be a state in $M$ that is not reachable from any initial state. The result of removing s from $M$ is bisimulation equivalent to $M$.

Consequently, we refer only to FSMs where all the states are reachable from the initial states.

Bisimulation is an equivalence relation over FSMs. [15] shows that for every two FSMs $M_{1}$ and $M_{2}$, there exists a maximal bisimulation relation that contains every relation satisfying the conditions of Definition 2.4. The maximal bisimulation relation $H \subseteq S \times S$ over the states of an FSM $M$ is an equivalence relation over $S$. As such, it induces a partition of $S$ to equivalence classes. These classes can be used to form the quotient FSM of $M$, which is the minimal FSM that is bisimulation equivalent to $M$.
We will denote by $[s]$ the equivalence class of a state $s$.
Definition 2.6 Let $M=<S, S_{0}, I, O, L, R>$ be an $F S M$ and let $H \subseteq$ $S \times S$ be the maximal bisimulation relation with respect to $O^{\prime} \subseteq O$ over $M$. The quotient $F S M M_{Q}=<S_{Q}, S_{0_{Q}}, I_{Q}, O_{Q}, L_{Q}, R_{Q}>$ of $M$ with respect to $H$ is defined as follows:

- $S_{Q}=\{\alpha \mid \alpha$ is an equivalence class in $H\}$.
- $S_{0_{Q}}=\left\{\left[s_{0}\right] \mid s_{0} \in S_{0}\right\}$.
- $I_{Q}=I$.
- $O_{Q}=O^{\prime}$.
- For $\alpha \in S_{Q}, L_{Q}(\alpha)=L(s) \cap O^{\prime}$, for some (all) states $s \in \alpha$.
- $R_{Q}=\left\{\left(\alpha, i, \alpha^{\prime}\right) \mid\right.$ there are states $s \in \alpha, s^{\prime} \in \alpha^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in$ $R\}$.

Definition 2.7 An FSMM is minimized iff it is isomorphic to its quotient FSM.

## 3 Properties of modularity and reduction

The improved algorithm uses both modularity and bisimulation-based reduction. In the following we present some properties of the bisimulation relation, bisimulation reduction, and the relationships between bisimulation and modularity. The proofs for these claims are given in Appendix A.

Lemma 3.1 Let $M$ be an $F S M$, and let $M_{Q}$ be the quotient FSM of $M$. Let $\left(\alpha, i, \alpha^{\prime}\right)$ be an element in $R_{Q}$. Then for every state $s$ in $\alpha$ there exists a state $s^{\prime}$ in $\alpha^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$.

Proposition 3.2 If $M$ is deterministic, then $M_{Q}$ is deterministic.
Lemma $3.3 M$ is minimized iff the maximal bisimulation relation over $M \times M$ contains exactly the identity pairs.

Lemma 3.4 Let $M$ be an $F S M$ and $M_{Q}$ be the quotient $F S M$ of $M$ with respect to $O^{\prime}$. Then $M$ and $M_{Q}$ are bisimulation equivalent with respect to $O^{\prime}$.

Lemma 3.5 Let $M$ be an $F S M$ and $M_{Q}$ be the quotient $F S M$ of $M$ with respect to $O^{\prime}$. Then $M_{Q}$ is the smallest (in number of states and transitions) $F S M$ which is bisimulation equivalent to $M$ with respect to $O^{\prime}$.

Proposition 3.6 Let $M_{1}$ and $M_{2}$ be $F S M$ s and let $H \subseteq S_{1} \times S_{2}$ be a bisimulation relation over $M_{1}$ and $M_{2}$ with respect to $O \subseteq O_{1} \cap O_{2}$. Then $H$ is a bisimulation relation with respect to every $O^{\prime} \subseteq O$.

Lemma 3.7 Let $M_{1}$ and $M_{2}$ be minimized FSMs. If $O_{1} \cap I_{2}=\emptyset$ and $O_{2} \cap I_{1}=\emptyset$, then $M=M_{1} \| M_{2}$ is minimized.

## 4 The improved algorithm

In this section we present the improved algorithm. Like the naive algorithm, the improved algorithm receives a design, given as a set of $n$ components. The improved algorithm works in iterations. In each iteration two minimized components, $M_{1}$ and $M_{2}$, are selected and a new minimized component, which is equivalent to $M_{1} \| M_{2}$, is constructed. The algorithm terminates when an iteration results in a single component. In this case, the final component is the smallest, in terms of states and transitions, that is equivalent to the composition of the $n$ original components.

In this section we focus on a single iteration of the improved algorithm. Unlike the naive algorithm, where the two components are first composed and then the result is minimized, the improved algorithm constructs a minimized FSM that is equivalent to $M_{1} \| M_{2}$ without constructing the full model. Thus, the improved algorithm require less time and space.

By Lemma 3.7, if $M$ is the result of a composition of two different FSMs that do not interact with each other, then $M$ can be minimized by minimizing $M_{1}$ and $M_{2}$ separately. However, this does not hold in the general case: given two minimized components $M_{1}$ and $M_{2}$, their composition $M_{1} \| M_{2}$ is not necessarily minimized. This is demonstrated in Figure 1.

Figure 1 shows two FSMs, $M_{1}$ and $M_{2}$, for which $O_{1} \cap I_{2} \neq \emptyset . M_{1}$ and $M_{2}$ are minimized but their composition $M$ is not. Figure 1 also contains $M_{Q}$, which is the result of minimizing $M$. The FSMs in Figure 1 are Moore machines, and we use the following convention in their description. The labels in the states represent the outputs of the Moore machines. The inputs are represented by a boolean formula on the edges. For states $s, s^{\prime} \in S$ and $i \subseteq I,\left(s, i, s^{\prime}\right)$ is an element in $R$ iff $i$ satisfies the formula on the edge from $s$ to $s^{\prime}$.


Figure 1: The composition of two minimized FSMs is not always minimized

The observation demonstrated in Figure 1 implies that a more sophisticated algorithm is needed for interacting components. We will present two
versions of the improved algorithm, one for deterministic FSMs and another for nondeterministic FSMs. While the former is less general, it has a better complexity. Since hardware designs are often modeled by a deterministic FSM, it worth developing a special algorithm for deterministic designs.

### 4.1 Deterministic FSMs

We now describe a single iteration of the improved algorithm. The version for deterministic FSMs and the version for nondeterministic FSMs differ only in the last stage of each iteration. We first present the version for deterministic systems, which is simpler, and then we present the change in the last stage for nondeterministic FSMs. In each iteration, the algorithm is given two minimized FSMs, $M_{1}$ and $M_{2}$, such that $O_{1} \cap O_{2}=\emptyset$. We use the notation $M=M_{1} \| M_{2}, O_{1}^{\prime}=O_{1} \cap I_{2}$, and $O_{2}^{\prime}=O_{2} \cap I_{1}$. The algorithm performs the following steps:

1. Reduce $M_{1}$ with respect to $O_{1}^{\prime}$, resulting in $M_{1}^{r}$.
2. Reduce $M_{2}$ with respect to $O_{2}^{\prime}$, resulting in $M_{2}^{r}$.
3. Compose $M_{1}^{e}=M_{1} \| M_{2}^{r}$.
4. Compose $M_{2}^{e}=M_{1}^{r} \| M_{2}$.
5. Reduce $M_{1}^{e}$ with respect to $O_{1}$, resulting in $M_{1}^{d}$.
6. Reduce $M_{2}^{e}$ with respect to $O_{2}$, resulting in $M_{2}^{d}$.
7. Compose $M_{d}=M_{1}^{d} \| M_{2}^{d}$.

Table 1 presents the inputs and outputs of the FSMs constructed by the improved algorithm.

An example for the improved algorithm is presented in Figure 2. The intuition behind the improved algorithm is as follows. When two FSMs are composed, each restricts the behavior of the other by providing a real environment, rather than an open one. States that behaved differently from one another are now indistinguishable and can be collapsed into the same equivalence class.

Our goal is to minimize $M_{1}$ and $M_{2}$ in separation, while taking into account the environment each runs in. While minimizing $M_{2}$, it is sufficient to consider only the part of $M_{1}$ which influences $M_{2} . M_{1}^{r}$ is exactly that part. Therefore, states in $M_{2}$ that become indistinguishable in $M=M_{1} \| M_{2}$ are

| FSM | Input | Output |
| :--- | :--- | :--- |
| $M_{1}$ | $I_{1}$ | $O_{1}$ |
| $M_{2}$ | $I_{2}$ | $O_{2}$ |
| $M_{1}^{r}$ | $I_{1}$ | $O_{1}^{\prime}$ |
| $M_{2}^{r}$ | $I_{2}$ | $O_{2}^{\prime}$ |
| $M$ | $\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{1} \cup O_{2}$ |
| $M_{1}^{e}$ | $\left(I_{1} \backslash O_{2}^{\prime}\right) \cup\left(I_{2} \backslash O_{1}\right)=\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{1} \cup O_{2}^{\prime}$ |
| $M_{2}^{e}$ | $\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}^{\prime}\right)=\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{2} \cup O_{1}^{\prime}$ |
| $M_{1}^{d}$ | $\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{1}$ |
| $M_{2}^{d}$ | $\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{2}$ |
| $M_{d}$ | $\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$ | $O_{1} \cup O_{2}$ |

Table 1: The inputs and outputs of the intermediate FSMs in the improved algorithm
also indistinguishable in $M_{2}^{e}=M_{1}^{r} \| M_{2}$. These states are collapsed, resulting in $M_{2}^{d}$. Similarly, in $M_{1}^{e}$, states of $M_{1}$ that are indistinguishable in $M$ are collapsed (resulting in $M_{1}^{d}$ ). When $M_{1}^{d}$ and $M_{2}^{d}$ are finally composed, $M_{d}$ is the result of a composition of two minimized FSMs which do not interact, and therefore $M_{d}$ is minimized.

The skeleton of the correctness proof for the algorithm is given in the lemma below. In the rest of the section we prove each of the claims, thus proving the correctness of our algorithm.

## Lemma 4.1

- $M_{1}^{e}$ and $M$ are bisimulation equivalent with respect to $O_{1} \cup O_{2}^{\prime}$.
- $M_{2}^{e}$ and $M$ are bisimulation equivalent with respect to $O_{2} \cup O_{1}^{\prime}$.
- $M_{1}^{d}$ and $M$ are bisimulation equivalent with respect to $O_{1}$.
- $M_{2}^{d}$ and $M$ are bisimulation equivalent with respect to $O_{2}$.
- $M_{d}$ and $M$ are bisimulation equivalent with respect to $O_{1} \cup O_{2}$
- $M_{d}$ is minimized with respect to $O_{1} \cup O_{2}$.

Lemma 4.2 $M_{1}^{e}$ and $M$ are bisimulation equivalent with respect to $O_{1} \cup$ $O_{2}^{\prime}$.


Figure 2: An example of the deterministic version of the improved algorithm: $M_{1}$ has input set $I_{1}=\{c\}$ and output set $O_{1}=\{a, b\}$. $M_{2}$ has input set $I_{2}=\{a\}$ and output set $O_{2}=\{c, d\}$. Note that even though $M_{1}$ and $M_{2}$ are minimized, $M$ is not. $M_{d}$ is the quotient model of $M$. It can also be obtained by composing $M_{1}^{d}$ and $M_{2}^{d}$. The states of $M_{1}^{d}, M_{2}^{d}$ and $M_{d}$ are given as the sets of states in the equivalence classes the states represent.

Proof: Let $H_{1}^{e} \subseteq S \times S_{1}^{e}$ be $H_{1}^{e}=\left\{\left(\left(s_{1}, s_{2}\right),\left(s_{1}, s_{2}^{r}\right)\right) \mid s_{2}^{r}\right.$ is the equivalence class of $\left.s_{2}\right\}$. We prove that $H_{1}^{e}$ is a bisimulation relation.

- For every $\left(s_{10}, s_{20}\right) \in S_{0}$, we have $\left(\left(s_{10}, s_{20}\right),\left(s_{10},\left[s_{20}\right]\right)\right) \in H_{1}^{e}$. Similarly, for every $\left(s_{10}, \alpha_{0}\right) \in S_{10}^{r}$, let $s_{20}$ be the initial state in $\alpha$. Then $\left(\left(s_{10}, s_{20}\right),\left(s_{10},\left[s_{20}\right]\right)\right) \in H_{1}^{e}$.

Let $\left(\left(s_{1}, s_{2}\right),\left(s_{1}, s_{2}^{r}\right)\right) \in H_{1}^{e}$ :

- Since the labeling of an equivalence class is equal to the labeling of the states it contains, $L_{2}\left(s_{2}\right) \cap O_{2}^{\prime}=L_{2}^{r}\left(s_{2}^{r}\right)$. The definition of composition therefore implies that $L\left(\left(s_{1}, s_{2}\right)\right) \cap\left(O_{1} \cup O_{2}^{\prime}\right)=L_{1}^{e}\left(\left(s_{1}, s_{2}^{r}\right)\right)$.
- Let $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right)$ be an element in $R$. This implies that for $i_{1}=\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1},\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$ and for $i_{2}=\left(i \cup L_{1}\left(s_{1}\right)\right) \cap$ $I_{2},\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$. Let $s_{2}^{\prime \prime r}$ be the equivalence class of $s_{2}^{\prime}$. Then $\left(s_{2}^{r}, i_{2}, s_{2}^{\prime r}\right) \in R_{2}^{r}$. Since $L_{2}\left(s_{2}\right) \cap I_{1}=L_{2}\left(s_{2}\right) \cap O_{2}^{\prime}=L_{2}^{r}\left(s_{2}^{r}\right), i_{1}=(i \cup$ $\left.L_{2}^{r}\left(s_{2}^{r}\right)\right) \cap I_{1}$. The definition of composition implies that $\left(\left(s_{1}, s_{2}^{r}\right), i,\left(s_{1}^{\prime}, s_{2}^{r r}\right)\right) \in$ $R_{1}^{e}$. By the definition of $H_{1}^{e},\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(s_{1}{ }^{\prime}, s_{2}{ }^{\prime \prime}\right)\right) \in H_{1}^{e}$.
- Let $\left(\left(s_{1}, s_{2}^{r}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime r}\right)\right)$ be an element in $R_{1}^{e}$. This implies that for $i_{1}=\left(i \cup L_{2}^{r}\left(s_{2}^{r}\right)\right) \cap I_{1},\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$ and for $i_{2}=\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}$, $\left(s_{2}^{r}, i_{2}, s_{2}^{\prime r}\right) \in R_{2}^{r}$. By Lemma 3.1, there exists a state $s_{2}^{\prime}$ such that $\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$ and $s_{2}^{\prime r}$ is the equivalence class of $s_{2}^{\prime}$. Since $L_{2}\left(s_{2}\right) \cap$ $I_{1}=L_{2}\left(s_{2}\right) \cap O_{2}^{\prime}=L_{2}^{r}\left(s_{2}^{r}\right), i_{1}=\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}$. By the definition of composition, $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in R$, and by the definition of $H_{1}^{e}$, $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(s_{1}^{\prime r}, s_{2}^{\prime r}\right)\right) \in H_{1}^{e}$.

Lemma $4.3 M_{2}^{e}$ and $M$ are bisimulation equivalent with respect to $O_{1}^{\prime} \cup$ $O_{2}$.

The proof is similar to the proof of Lemma 4.2.
Lemma 4.4 $M_{1}^{d}$ and $M$ are bisimulation equivalent with respect to $O_{1}$.
Proof : Proposition 3.6 together with Lemma 4.2 implies that $M_{1}^{e}$ and $M$ are bisimulation equivalent with respect to $O_{1}$. Lemma 3.4 implies that $M_{1}^{e}$ and $M_{1}^{d}$ are bisimulation equivalent with respect to $O_{1}$. Since bisimulation equivalence is transitive, then $M$ and $M_{1}^{d}$ are bisimulation equivalent with respect to $O_{1}$.

Lemma 4.5 $M_{2}^{d}$ and $M$ are bisimulation equivalent with respect to $O_{2}$.
The proof is similar to the proof of Lemma 4.4.
Lemma 4.6 If $M_{1}$ and $M_{2}$ are deterministic, then $M_{d}$ and $M$ are bisimulation equivalent with respect to $O_{1} \cup O_{2}$.

Note that both $M_{1}^{d}$ and $M_{2}^{d}$ have the same input $(I)$ and $I \cap O_{1}=$ $I \cap O_{2}=\emptyset$.

Proof : Let $H_{1}^{d} \subseteq S \times S_{1}^{d}$ and $H_{d}^{2} \subseteq S \times S_{2}^{d}$ be bisimulation relations over $M \times M_{1}^{d}$ and $M \times M_{2}^{d}$ respectively. Let $H_{d} \subseteq S \times S_{d}$ be the following relation: $H_{d}=\left\{\left(\left(s_{1}, s_{2}\right),\left(s_{1}^{d}, s_{2}^{d}\right)\right) \mid\left(\left(s_{1}, s_{2}\right), s_{1}^{d}\right) \in H_{d}^{1}\right.$ and $\left.\left(\left(s_{1}, s_{2}\right), s_{2}^{d}\right) \in H_{d}^{2}\right\}$. We prove that $H_{d}$ is a bisimulation relation.

- $\left(\left(s_{01}, s_{02}\right), s_{0}^{d}\right) \in H_{d}^{1}$ and $\left(\left(s_{01}, s_{02}\right), s_{0}{ }_{2}^{d}\right) \in H_{d}^{2} \operatorname{imply}$ that $\left(\left(s_{01}, s_{02}\right),\left(s_{0}{ }_{1}^{d}, s_{0}{ }_{2}^{d}\right)\right) \in$ $H_{d}$.

Let $\left(\left(s_{1}, s_{2}\right),\left(s_{1}^{d}, s_{2}^{d}\right)\right)$ be a pair in $H_{d}$.

- $\left(\left(s_{1}, s_{2}\right), s_{1}^{d}\right) \in H_{d}^{1}$ implies that $L\left(\left(s_{1}, s_{2}\right)\right) \cap O_{1}=L_{1}^{d}\left(s_{1}^{d}\right) .\left(\left(s_{1}, s_{2}\right), s_{2}^{d}\right) \in$ $H_{d}^{2}$ implies that $L\left(\left(s_{1}, s_{2}\right)\right) \cap O_{2}=L_{2}^{d}\left(s_{2}^{d}\right)$. Thus, $L\left(\left(s_{1}, s_{2}\right)\right)=L_{d}\left(\left(s_{1}^{d}, s_{2}^{d}\right)\right)$.
- Let $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right)$ be an element in $R$. Since $\left(\left(s_{1}, s_{2}\right), s_{1}^{d}\right) \in H_{d}^{1}$, there exists a state $s_{1}^{\prime d}$ such that $\left(s_{1}^{d}, i, s_{1}^{d}\right) \in R_{1}^{d}$ and $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right), s_{1}^{d}\right) \in$ $H_{d}^{1}$. Since $\left(\left(s_{1}, s_{2}\right), s_{2}^{d}\right) \in H_{d}^{2}$, there exists a state $s_{2}{ }^{\prime d}$ such that $\left(s_{2}^{d}, i, s_{2}^{d}\right) \in R_{2}^{d}$ and $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right), s_{2}^{\prime d}\right) \in H_{d}^{2}$. The definition of composition implies that $\left(\left(s_{1}^{d}, s_{2}^{d}\right), i,\left(s_{1}^{d}, s_{2}^{\prime d}\right)\right) \in R_{d}$ and by the definition of $H_{d},\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(s_{1}^{\prime d}, s_{2}^{\prime d}\right)\right) \in H_{d}$.
- Let $\left(\left(s_{1}^{d}, s_{2}^{d}\right), i,\left(s_{1}{ }^{d}, s_{2}{ }^{\prime d}\right)\right)$ be an element in $R_{d}$. Then $\left(s_{1}^{d}, i, s_{1}{ }^{d}\right) \in$ $R_{1}^{d}$ and $\left(s_{2}^{d}, i, s_{2}^{d}\right) \in R_{2}^{d}$. Since $\left(\left(s_{1}, s_{2}\right), s_{1}^{d}\right) \in H_{d}^{1}$, there exists a state $\left(s_{1}^{\prime}, s_{2}^{\prime}\right)$ such that $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in R$ and $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right), s_{1}^{\prime d}\right) \in$ $H_{d}^{1}$. Since $\left(\left(s_{1}, s_{2}\right), s_{2}^{d}\right) \in H_{d}^{2}$, there exists a state $\left(s_{1}^{\prime \prime}, s_{2}^{\prime \prime}\right)$ such that $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime \prime}, s_{2}^{\prime \prime}\right)\right) \in R$ and $\left(\left(s_{1}^{\prime \prime}, s_{2}^{\prime \prime}\right), s_{2}^{\prime d}\right) \in H_{d}^{2}$. Since $M$ is deterministic, $\left(s_{1}^{\prime}, s_{2}^{\prime}\right)=\left(s_{1}^{\prime \prime}, s_{2}^{\prime \prime}\right)$. By the definition of $H_{d},\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(s_{1}^{\prime d}, s_{2}^{\prime d}\right)\right) \in$ $H_{d}$.
$M_{1}^{d}$ and $M_{2}^{d}$ are minimized with respect to $O_{1}$ and $O_{2}$ respectively. Furthermore, $I \cap O_{1}=I \cap O_{2}=\emptyset$, and thus Lemma 3.7 induces the following corollary.

Corollary $4.7 M_{d}$ is minimized with respect to $O_{1} \cup O_{2}$.

### 4.2 Time and space complexity

In this section we compare between the complexity of the naive algorithm and the complexity of the improved algorithm.

The algorithms include two basic operations:

1. Composing two FSMs, $M^{\prime \prime}=M \| M^{\prime}$. The most costly part of this operation is the computation of the transition relation $R^{\prime \prime}$. This can be done in time and space complexity of $O\left(\left|R^{\prime \prime}\right|\right)$.
2. Minimizing an FSM $M$ into its quotient FSM, $M_{Q}$. The algorithms have the same complexity as the one in $[11,17]$. Their space complexity is $O(|R|)$ and their time complexity is $O(|R| \cdot \log (|S|))$.

Thus, the minimization is the dominant part of the algorithm. In the naive algorithm there is only one minimization of $M=M_{1} \| M_{2}$. In the improved algorithm, however, there are 4 minimizations: The minimization of $M_{1}$ that results in $M_{1}^{r}$, the minimization of $M_{2}$ that results in $M_{2}^{r}$, the minimization of $M_{1}^{e}$ that results in $M_{1}^{d}$, and the minimization $M_{2}^{e}$ that results in $M_{2}^{d}$.

Since the complexity of a minimization depends on the size of the minimized FSM, we need to compare the sizes of $M_{1}, M_{2}, M_{1}^{e}, M_{2}^{e}$, to the size of $M$. We assume that the size of $M_{1}$ is equal to the size of $M_{2}$.

The differences in the sizes of $M_{1}$ and $M_{2}$ and the that of $M$ depend on the interactions between $M_{1}$ and $M_{2}$. The interaction between $M_{1}$ and $M_{2}$ is measured by the number of inputs of one that are outputs of the other. The size of the state spaces of $M_{1}$ and $M_{2}$, is the square root of the size of the state space of $M$. However, the size of the transition relation depends on the interactions. When the interaction between $M_{1}$ and $M_{2}$ is high, many inputs of $M_{1}$ and $M_{2}$ are connected to the corresponding outputs of $M_{2}$ and $M_{1}$. These inputs are not part of the inputs of $M^{1}$. In this case, every component in $M_{2}$ is an input of $M_{1}$ and vice versa. Thus, $\left|S_{1}\right| \cdot\left|2^{I_{1}}\right| \approx\left|S_{2}\right| \cdot\left|2^{I_{2}}\right| \approx|S| \cdot\left|2^{I}\right|$. Since $\left|R_{1}\right| \approx\left|S_{1}\right| \cdot\left|2^{I_{1}}\right|$ and $\left|R_{2}\right| \approx\left|S_{2}\right| \cdot\left|2^{I_{2}}\right|$, $\left|R_{1}\right| \approx\left|R_{2}\right| \approx|R|$, and $\left|M_{1}\right| \approx\left|M_{2}\right| \approx|M|$.

Next we compare the sizes of $M_{1}^{e}$ and $M_{2}^{e}$ with the size of $M$. Note that $M=M_{1}\left\|M_{2}, M_{1}^{e}=M_{1}\right\| M_{2}^{r}$ and $M_{2}^{e}=M_{1}^{r} \| M_{2}$. This implies that the difference in the sizes of $M$ and $M_{1}^{e}$ depends on the difference in the sizes of $M_{2}$ and $M_{2}^{r}$. Similarly, the difference between the sizes of $M$ and $M_{2}^{e}$ depends on the difference between $M_{1}$ and $M_{1}^{r}$. When there is no redundancy, $\left|M_{1}\right|=\left|M_{1}^{r}\right|$ and $\left|M_{2}\right|=\left|M_{2}^{r}\right|$. In this case, $\left|M_{1}^{e}\right|=\left|M_{2}^{e}\right|=$ $|M|$.

The worst-case scenario is when the interaction between $M_{1}$ and $M_{2}$ is high and there is no redundancy in $M_{1}$ and $M_{2},\left|M_{1}\right|=\left|M_{1}^{r}\right|$ and $\left|M_{2}\right|=$ $\left|M_{2}^{r}\right|$. In this case the improved algorithm performs four minimizations, each requiring the same time as the single minimization of the naive algorithm.

[^0]Since we need to keep at most three different models at the same time, the space requirement of the improved algorithm is three times that of the naive algorithm.

In the best scenario, however, $\left|M_{1}\right|=\left|M_{2}\right|=\left|M_{1}^{e}\right|=\left|M_{2}^{e}\right|=\sqrt{|M|}$. In this scenario, the time complexity of $|R| \cdot \log (|S|)$ in the naive algorithm, becomes $4 \cdot \sqrt{|R|} \cdot \log (\sqrt{|S|})$ in the improved algorithm. This time complexity is significantly better.

### 4.3 Nondeterministic FSMs

In this section we extend the modular method to nondeterministic FSMs, for which, Lemma 4.6 does not hold. The result $M_{d}$ of composing $M_{1}^{d}$ and $M_{2}^{d}$ might be inequivalent to $M$ due to "illegal states" in $M_{d}$ which are not equivalent to any state in $M$.

In order to understand this inequality, we inspect the role of the states of $M_{1}$ in $M$ (the role of the states of $M_{2}$ is similar). Since $M$ is a composition of $M_{1}$ and $M_{2}$, every state $s_{1}$ has two functions: the first is to determine the outputs and the next state of $M_{1}$, and the second is to determine the inputs of $M_{2}$.

In $M_{d}$ these two functions are fulfilled by two states of $S_{1}$. Let ( $\left.\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right)$ be a state in $M_{d}$. Then $s_{1}$ determines the outputs and the next states of $M_{1}$, and $t_{1}$ determines the inputs of $M_{2}$. A state ( $\left.\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right)$ of $M_{d}$ might be illegal when $s_{1} \notin\left[t_{1}\right]$. In this case, the combination of the next state in $M_{1}$ and the input of $M_{2}$ does not occur in any state of $S_{1}$.

The problem of illegal states is demonstrated in Figure 3. In this figure, all the states of $M_{1}$ and $M_{2}$ are initial states. Therefore, $M_{1}$ and $M_{2}$ are nondeterministic. $M_{1}^{r}$ and $M_{2}^{r}$ cannot be further reduced, and the same holds for $M_{1}^{e}$ and $M_{2}^{e}$. Since the result $M_{d}$ of composing $M_{1}^{d}$ and $M_{2}^{d}$ is minimized and contains 16 initial states, it cannot be bisimulation equivalent to $M=M_{1} \| M_{2}$. The error in the algorithm is due to illegal states such as $((0,2),(1,2))$ in $M_{d}$. This illegal state is related to both $s_{0}$ and $s_{1}$ in $M_{1}$ and is not equivalent to any state in $M$.

We now present the nondeterministic systems version of the improved algorithm. This algorithm restricts the states of $M_{d}$ to legal states only. As before, the minimized FSM is constructed without constructing the nonminimized FSM $M_{1} \| M_{2}$ itself. First we define two functions.

Definition 4.8 The function $f_{1}: S_{1} \times S_{2} \rightarrow S_{1}^{d}$ is defined as follows: $f_{1}\left(s_{1}, s_{2}\right)=\left[\left(s_{1},\left[s_{2}\right]\right)\right]$.


Figure 3: An example of an inequivalent result of the deterministic systems version of the improved algorithm, where $M_{1}$ and $M_{2}$ are not deterministic.

Definition 4.9 The function $f_{2}: S_{1} \times S_{2} \rightarrow S_{2}^{d}$ is defined as follows: $f_{2}\left(s_{1}, s_{2}\right)=\left[\left(\left[s_{1}\right], s_{2}\right)\right]$.

Next, we define a new FSM $M_{d}^{\prime}$, which is similar to $M_{d}$ except that the set of states is restricted:
$S_{d}^{\prime}=\left\{\left(s_{1}^{d}, s_{2}^{d}\right) \mid \exists s_{1}, s_{2}, s_{1}^{d}=f_{1}\left(s_{1}, s_{2}\right) \wedge s_{2}^{d}=f_{2}\left(s_{1}, s_{2}\right)\right\}$. The definitions for the other components of $M_{d}^{\prime}$ are straightforward. $S_{0}^{d^{\prime}}=S_{0}{ }^{d} \cap S_{d}^{\prime}$, the inputs, outputs, and labeling function remain the same, and $R_{d}^{\prime}=R_{d} \cap\left(S_{d}^{\prime} \times S_{d}^{\prime}\right)$. We now prove that $M_{d}^{\prime}$ is bisimulation equivalent to $M$.

Lemma $4.10 M_{d}^{\prime}$ and $M$ are bisimulation equivalent with respect to $O_{1} \cup O_{2}$.

Proof : Let $H \subseteq S \times S_{d}^{\prime}$ be defined as follows: $H=\left\{\left(\left(s_{1}, s_{2}\right),\left(s_{1}^{d}, s_{2}^{d}\right)\right) \mid s_{1}^{d}=\right.$ $\left.f_{1}\left(s_{1}, s_{2}\right) \wedge s_{2}^{d}=f_{2}\left(s_{1}, s_{2}\right)\right\}$. We prove that $H$ is a bisimulation relation.

- The definition of $S_{d 0}^{\prime}$ implies that for every state $\left(s_{10}, s_{20}\right) \in S_{0}$ there exists a state $\left(s_{10}^{d}, s_{20}^{d}\right)=\left(\left[\left(s_{10},\left[s_{20}\right]\right)\right],\left[\left(\left[s_{10}\right], s_{20}\right)\right]\right) \in S_{d 0}^{\prime}$ such that
$\left(\left(s_{10}, s_{20}\right),\left(s_{10}^{d}, s_{20}^{d}\right)\right) \in H$. For the other direction, assume that $\left(s_{10}^{d}, s_{20}^{d}\right)=$ $\left(\left[\left(s_{10},\left[s_{20}\right]\right)\right],\left[\left(\left[s_{10}\right], s_{20}\right)\right]\right)$ is a state in $S_{d 0}^{\prime}$. Then, the state $\left(s_{10}, s_{20}\right)$ is in $S_{0}$ and $\left(\left(s_{10}, s_{20}\right),\left(s_{10}^{d}, s_{20}^{d}\right)\right) \in H$.
- Let $\left(\left(s_{1}, s_{2}\right),\left(s_{1}^{d}, s_{2}^{d}\right)\right)$ be an element in $H$. Since $L_{1}^{d}\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right]\right)=$ $L_{1}^{e}\left(s_{1},\left[s_{2}\right]\right) \cap O_{1}=L_{1}\left(s_{1}\right)$ and $L_{2}^{d}\left(\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right)=L_{2}^{e}\left(\left[s_{1}\right], s_{2}\right) \cap O_{2}=$ $L_{2}\left(s_{2}\right), L\left(\left(s_{1}, s_{2}\right)\right)=L_{d}\left(\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right)\right)$.
- Let $\left(\left(s_{1}, s_{2}\right),\left(s_{1}^{d}, s_{2}^{d}\right)\right)$ be in $H$ and let $i$ be an element in $I$. Let $i_{1}=$ $\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}=\left(i \cup L_{2}^{r}\left(\left[s_{2}\right]\right)\right) \cap I_{1}$ and
$-i_{2}=\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}=\left(i \cup L_{1}^{r}\left(\left[s_{1}\right]\right)\right) \cap I_{2}$. Then, $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in$ R iff
- $\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$ and $\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$ iff (Definition 2.6 and Lemma 3.1)
$-\left(\left[s_{1}\right], i_{1},\left[s_{1}^{\prime}\right]\right) \in R_{1}^{r}$ and $\left(\left[s_{2}\right], i_{2},\left[s_{2}^{\prime}\right]\right) \in R_{2}^{r} .\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$ and $\left(\left[s_{2}\right], i_{2},\left[s_{2}^{\prime}\right]\right) \in R_{2}^{r} \operatorname{iff}\left(\left(s_{1},\left[s_{2}\right]\right), i,\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right) \in R_{1}^{e}$.
- Similarly, $\left(\left[s_{1}\right], i_{1},\left[s_{1}^{\prime}\right]\right) \in R_{1}^{r}$ and $\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$ iff $\left(\left(\left[s_{1}\right], s_{2}\right), i,\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right) \in R_{2}^{e}$.
- Therefore, $\left(\left(s_{1},\left[s_{2}\right]\right), i,\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right) \in R_{1}^{e}$ and $\left(\left(\left[s_{1}\right], s_{2}\right), i,\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right) \in$ $R_{2}^{e}$ iff
$-\left(s_{1}^{d}, i, s_{1}^{d^{\prime}}\right)=\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right], i,\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right]\right)$ is in $R_{1}^{d}$ and $\left(s_{2}^{d}, i, s_{2}^{d^{\prime}}\right)=\left(\left[\left(\left[s_{1}\right], s_{2}\right)\right], i,\left[\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right]\right)$ is in $R_{2}^{d}$ iff
$-\left(\left(s_{1}^{d}, s_{2}^{d}\right), i,\left(s_{1}^{d^{\prime}}, s_{2}^{d^{\prime}}\right)\right) \in R_{d}$.

Next, we prove that $M_{d}^{\prime}$ is minimized. First, we show that the maximal bisimulation over $M_{d}^{\prime}$ includes a bisimulation over $M_{2}^{d}$.

Lemma 4.11 Let $H_{d}^{\prime}$ be the maximal bisimulation relation over $M_{d}^{\prime}$. We define a relation $H_{1}^{d}$ over $S_{1}^{d} \times S_{1}^{d}$ as follows: $\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(t_{1},\left[t_{2}\right]\right)\right]\right) \in H_{1}^{d}$ iff $\left(\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right),\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right)\right) \in H_{d}^{\prime}$. Then $H_{1}^{d}$ is a bisimulation relation.

## Proof :

- Since $H_{d}^{\prime}$ contains all identity pairs, $H_{1}^{d}$ contains all identity pairs as well. This implies that for every initial state, the pair consisting of the initial state and itself is an element in $H_{1}^{d}$.

Let $\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(t_{1},\left[t_{2}\right]\right)\right]\right)$ be an element in $H_{1}^{d}$ :

- $L_{d}\left(\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right)\right)=L_{d}\left(\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right)\right)$ implies that $L_{d}^{1}\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right]\right)=L_{d}^{1}\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right]\right)$.
- Let $\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right], i,\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right]\right)$ be an element in $R_{1}^{d}$. Let $i_{1}=\left(i \cup L_{2}\left(s_{2}\right)\right) \cap$ $I_{1}=\left(i \cup L_{2}^{r}\left(\left[s_{2}\right]\right)\right) \cap I_{1}$ and $i_{2}=\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}=\left(i \cup L_{1}^{r}\left(\left[s_{1}\right]\right)\right) \cap I_{2}$.

1. By Lemma 3.1, $\left(\left(s_{1},\left[s_{2}\right]\right), i,\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right) \in R_{1}^{e}$.
2. Thus, $\left(s_{1}, i_{1}, s_{1}^{\prime}\right) \in R_{1}$ and $\left(\left[s_{2}\right], i_{2},\left[s_{2}^{\prime}\right]\right) \in R_{2}^{r}$.
3. By Definition 2.6 and Lemma 3.1, $\left.\left(\left[s_{1}\right], i_{1},\left[s_{1}^{\prime}\right]\right) \in R_{1}^{r}\right)$ and $\left(s_{2}, i_{2}, s_{2}^{\prime}\right) \in R_{2}$.
4. This implies that $\left(\left[\left(\left[s_{1}\right], s_{2}\right)\right], i,\left[\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right]\right) \in R_{2}^{d}$.
5. Thus, $\left(\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right), i,\left(\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right],\left[\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right]\right)\right) \in R_{d}^{\prime}$.
6. Since $H_{d}^{\prime}$ is a bisimulation relation, there exists a state $\left(\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right],\left[\left(\left[t_{1}^{\prime}\right], t_{2}^{\prime}\right)\right]\right)$ such that $\left(\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right), i,\left(\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right],\left[\left(\left[t_{1}^{\prime}\right], t_{2}^{\prime}\right)\right]\right)\right) \in R_{d}^{\prime}$ and $\left(\left(\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right],\left[\left(\left[s_{1}^{\prime}\right], s_{2}^{\prime}\right)\right]\right),\left(\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right],\left[\left(\left[t_{1}^{\prime}\right], t_{2}^{\prime}\right)\right]\right)\right) \in H_{d}^{\prime}$.
7. This implies that $\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right], i,\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right]\right) \in R_{1}^{d}$ and $\left(\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right],\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right]\right) \in H_{1}^{d}$.

- Similarly, we can prove that for every state $\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right]$ such that $\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right], i,\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right]\right) \in R_{1}^{d}$ there exists a state $\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right]$ such that $\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right], i,\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right]\right) \in R_{1}^{d}$ and $\left(\left[\left(s_{1}^{\prime},\left[s_{2}^{\prime}\right]\right)\right],\left[\left(t_{1}^{\prime},\left[t_{2}^{\prime}\right]\right)\right]\right) \in H_{1}^{d}$.

Lemma 4.12 Let $H_{d}^{\prime}$ be the maximal bisimulation relation over $M_{d}^{\prime}$. We define a relation $H_{2}^{d}$ over $S_{2}^{d} \times S_{2}^{d}$ as follows: $\left(\left[\left(\left[s_{1}\right], s_{2}\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right) \in H_{2}^{d}$ iff $\left(\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right),\left(\left[\left(t_{1},\left[t_{2}\right]\right)\right],\left[\left(\left[t_{1}\right], t_{2}\right)\right]\right)\right) \in H_{d}^{\prime}$. Then $H_{2}^{d}$ is a bisimulation relation.

The proof of Lemma 4.12 is similar to the proof of Lemma 4.11.
Lemma $4.13 M_{d}^{\prime}$ is minimized.
Proof Let $H_{d}$ be the maximal bisimulation over $M_{d}^{\prime} \times M_{d}^{\prime}$. Assume to the contrary that the lemma does not hold. Then by Lemma 3.3, there are two different states $\left(s_{1}^{d}, s_{2}^{d}\right),\left(t_{1}^{d}, t_{2}^{d}\right)$ such that $\left(\left(s_{1}^{d}, s_{2}^{d}\right),\left(t_{1}^{d}, t_{2}^{d}\right)\right) \in H_{d}$. Since $\left(s_{1}^{d}, s_{2}^{d}\right) \neq\left(t_{1}^{d}, t_{2}^{d}\right)$, either $s_{1}^{d} \neq t_{1}^{d}$ or $s_{2}^{d} \neq t_{2}^{d}$. Assume w.l.o.g. that $s_{1}^{d} \neq t_{1}^{d}$. Let $H_{1}^{d}$ be the relation defined in Lemma 4.11. By Lemma 4.11, $H_{1}^{d}$ is a bisimulation. By the definition of $H_{1}^{d},\left(s_{1}^{d}, t_{1}^{d}\right) \in H_{1}^{d}$. By Lemma 3.3, $M_{1}^{d}$ is not minimized, a contradiction.

### 4.4 Additional complexity

The additional complexity is due to the computation of $S_{d}^{\prime}$, which forces us to refer to the whole state space of $M$. Nevertheless, since we only compute the state space and do not use it in the reduction method, the nondeterministic systems version of the improved algorithm is still better than the naive algorithm. $f_{1}$ and $f_{2}$, can be computed during the construction of $M_{1}^{r}$ and $M_{2}^{r}$ and the construction of $M_{1}^{d}$ and $M_{2}^{d}$ without any additional time complexity. However, since the function operates on the states of $M_{1} \| M_{2}$, the space complexity is $|S|=\left|S_{1}\right| \cdot\left|S_{2}\right|$. In a worst-case scenario, the complexity of the nondeterministic improved algorithm is identical to that of the deterministic improved algorithm. However, when $M_{1}^{r} \ll M_{1}$ and $M_{2}^{r} \ll M_{2}$, this complexity is worse than that of the deterministic version.

## 5 An implementation of the improved algorithm

In this section we describe an implementation of the improved algorithm. Our goal is to compare the improved algorithm, the naive algorithm, and the ordinary algorithm. The ordinary algorithm minimizes a given FSM directly and does not use modularity. The implementation was developed in the sequential equivalence verification CAD group of Intel design technologies in Haifa. The designs, which were tested in the equivalence department, have the following properties:

1. $S_{0}=S$, i.e., every state in the model is an initial state.
2. The transition relation is a function, meaning that for every state $s$ and input $i$ there exists exactly one state $t$, such that $(s, i, t)$ is a transition in $R$.

Note that the first property makes these designs nondeterministic. The above two properties prompted us to choose the nondeterministic systems version of the improved algorithm. However, we represent the transition relation as a function, which can be represented more concisely than a regular relation.

A general description of the implementation is given in Section 5.1. The improved algorithm uses the ordinary algorithm as a subroutine. The same ordinary algorithm is used for comparison with the improved algorithm. Since we deal with FSMs that have a transition relation that is a function, we use an algorithm that is similar to the algorithm presented in [11]. The experimental results are presented in Section 5.2.

```
typedef struct fsm {
    VarList inputs;
    BddFunction outputs;
    BddFunction latches;
    BDD domain;
    BddFunction equivFunc;
}FSM;
```

Figure 4: The data structure that models FSMs

### 5.1 The implementation framework

The minimization algorithms (improved, naive, or ordinary) receive an FSM from an Intel program, which compiles the RTL description of the design into an FSM. The given FSM contains three lists: A list of inputs, a list of latches, and a list of outputs. The list of inputs contains BDD variables only. The list of latches, which encodes the state space, is consists of pairs, with each pair containing a BDD variable and a BDD representing the next state function. The list of outputs, which encodes the labeling function, consists of pairs, with each pair containing a BDD variable and a BDD representing the output function.

We modeled an FSM by the FSM data structure shown in Figure 4. In addition to the inputs, latches, and outputs fields, the FSM data structure has the domain field, which is a BDD over the latches and represents the set of states, and the equivFunc field. When a minimization of an FSM is performed, a set of equivalence classes is constructed. These classes are the states of the resulting FSM. The equivFunc field of the resulting FSM contains a function that relates the states of the original FSM to their equivalence classes.

The information about the modular structure of the tested designs was lost during the development stage. Thus, instead of a set of components, the improved algorithm receives one FSM. In order to perform the minimization, it first partitions the FSM and then executes the improved algorithm. A basic description of the implementation of the improved algorithm is presented in Figure 5.

The algorithm receives an FSM om and partitions it into two FSMs, $m 1$ and $m 2$. Then it uses the improved algorithm to construct a minimized
model $m d$, which is equivalent to om. The algorithm partitions the model by partitioning the set of latches and the set of outputs, (it is possible for $m 1$ and $m 2$ to share inputs). The goal of the partition is to minimize the interaction between the models. Since it is hard to find such a partition, the algorithm uses a heuristic to find a partition with low interaction.

The improved algorithm uses the subroutine reduction, which executes the ordinary algorithm. The algorithm is an adaptation of the algorithm given in [11] for constructing the quotient automaton for a given regular deterministic automaton. The algorithm is adapted for FSMs for which the transition relation is a function. Given an FSM, it constructs its quotient FSM. The main difference between the algorithm in [11] and the ordinary algorithm is in the initial partitioning. While for automata the initial partition forms two sets (accepting and rejecting), for FSM, the states are initially partitioned into $2^{|A P|}$ sets, one for each state labeling.

Both minimization algorithms (the improve and the ordinary) minimize the FSM with respect to its outputs. Thus, before they minimize $M_{1}$ into $M_{1}^{r}$ ( $M_{2}$ into $M_{2}^{r}$ ), they need to remove the outputs in $O_{1} \backslash I_{2}\left(O_{2} \backslash I_{1}\right)$. The algorithms use the rmExternalOutputs subroutine to remove these external outputs.

In order to construct the set $r d$ of "legal states" of the form $\left(\left[\left(s_{1},\left[s_{2}\right]\right)\right],\left[\left(\left[s_{1}\right], s_{2}\right)\right]\right)$, the algorithm constructs two functions, $f 1 d: S \rightarrow S_{1}^{d}$ and $f 2 d: S \rightarrow S_{1}^{d}$. In order to construct $f 1 d$, the algorithm composes the functions M1d.equivFunc : $S_{1}^{e} \rightarrow S_{1}^{d}$ and the function $m 2$ r.equivFunc: $S_{2} \rightarrow S_{2}^{r}$. Since $S_{1}^{e}=S_{1} \times S_{2}^{r}$, the resulting function relates the states of $S_{1} \times S_{2}$ to the states of $S_{1}^{d}$. The function $f 2 d$ is constructed in a similar way. Then the algorithm calculates $r d=f d$ (om.domain), where $f d: S \rightarrow S_{d}$ is defined as follows: $f d(s)=(f 1 d(s), f 2 d(s))$.

The sets, functions and relations are represented by BDDs. We use Intel's BDD package for the implementation.

### 5.2 Experimental results

We compared the ordinary algorithm, the naive algorithm, and the improved algorithm. During testing of the improved algorithm, we discovered that the minimization of $M_{1}^{r}$ and $M_{2}^{r}$ does not improve performance. Thus, we also tested the algorithm without these minimizations. In this case $M_{1}^{e}\left(M_{2}^{e}\right)$ are simply $M$ with only some of the outputs. This test was performed with the design partitioned only once (this appears in the tables as improved2), and with the design partitioned recursively until it has only one output (this

```
FSM improvedAlgorithm(FSM om){
    FSM m1, m2, m1r, m2r, m1e, m2e, m1d, m2d, md;
    BddFunction fd, f1d, f2d;
    BDD re, rd;
    /* the recursion tail condition - based on the size of the model */
    if (!shouldSplit(om))
        return reduction(om);
    /* partition om to m1 and m2 */
    partModel(om, m1, m2);
    m1r = rmExternalOutputs(m1);
    m1r = improvedAlgorithm(m1r);
    m2e = modelComposition(m1r, m2);
    m2r = rmExternalOutputs(m2);
    m2r = improvedAlgorithm(m2r);
    m1e = modelComposition(m1, m2r);
    m1d = reduction(m1e);
    m2d = reduction(m2e);
    f1d = composeFunc(m1d.equivFunc, m2r.equivFunc);
    f2d = composeFunc(m2d.equivFunc, m1r.equivFunc);
    fd = joinBddFunc(f1d,f2d);
    rd = bdd_image(om.domain, fd);
    md = disjointComposition(m1d, m2d, rd);
    return md;
}
```

Figure 5: The improved algorithm
appears in the tables as improved3).
The results are presented in the following tables. In Table 2 we present general properties of the tested designs. Table 3 compares the minimization times of the algorithms. Table 4 compares the space requirements of the algorithms. The algorithms were tested on a machine with two CPUs of 550 MHZ each and 2 GB memory.

The experimental results imply that in most designs, all versions of the improved algorithm perform better than the ordinary and naive algorithms in both time and space. The best time performance is for the improved algorithm without the minimization of $M_{1}^{r}$ and $M_{2}^{r}$ and with recursive partitioning of the outputs. The best space performance is for the improved algorithm without the minimization of $M_{1}^{r}$ and $M_{2}^{r}$ and with only one partition of the outputs.

The differences between these two versions of the improved algorithm demonstrate the tradeoff between the algorithm's efficiency and its overhead. While the algorithm's efficiency results in a better run time, the overhead results in larger space requirements. This tradeoff is taken into account in the subroutine shouldSplit. This subroutine that decides whether to reduce the sub-model by further partitioning it with the improved algorithm or to use the ordinary reduction algorithm. In general, if the sub-model is too small, then the overhead the improved algorithm become too large.

Note that, while the improved algorithm is up to 12 times faster than the ordinary minimization algorithm in some cases, the difference between the two algorithms is small in those cases when the ordinary algorithm perform better.

## 6 Conclusions

Modularity is used extensively in the development of systems. As a result, most systems have a modular structure. In this work we show how this structure can be used for a better minimization algorithm. Given an FSM $M$, we construct two disjoint FSMs, $M_{1}^{e}$ and $M_{2}^{e}$, such that $M$ is equivalent to the synchronized composition of $M_{1}^{e}$ and $M_{2}^{e}$. Once we construct these FSMs, the problem of minimizing $M$ is reduced to minimizing $M_{1}^{e}$ and $M_{2}^{e}$ separately and composing the result. Since the complexity of minimizing $M$ might be quadratically greater than minimizing $M_{1}^{e}$ and $M_{2}^{e}$ separately, the potential of the algorithm is huge.

| Name | No. of <br> inputs | No. of <br> latches | No of <br> outputs |
| :--- | ---: | ---: | ---: |
| $\boldsymbol{s 2 9 8}$ | 5 | 14 | 7 |
| $s 298 d 2$ | 5 | 11 | 3 |
| $s 298 d 3$ | 5 | 13 | 5 |
| $s 400 d 1$ | 5 | 17 | 2 |
| $s 400 d 2$ | 5 | 17 | 2 |
| $s 400 d 3$ | 5 | 19 | 4 |
| $s 400$ | 5 | 21 | 6 |
| $s 349$ | 11 | 15 | 11 |
| $s 444.2$ | 5 | 20 | 5 |
| $s 444$ | 5 | 21 | 6 |

Table 2: General properties of the tested designs

| Name | ordinary <br> algorithm | naive <br> algorithm | improved <br> algorithm | improved2 <br> algorithm | improved3 <br> algorithm |
| :--- | :---: | :---: | :---: | :---: | :---: |
| s299 | 46 | 72 | 34 | 27 | 27 |
| s298d2 | 21 | 26 | 22 | 22 | 23 |
| s298d3 | 32 | 41 | 29 | 26 | 26 |
| s400d1 | 190 | 469 | 385 | 206 | 206 |
| s400d2 | 173 | 575 | 500 | 239 | 239 |
| s400d3 | 1,336 | 2,048 | 1,209 | 590 | 601 |
| s400 | 12,396 | space overflow | 2,302 | 1,129 | 999 |
| s349 | 2,640 | 4,496 | 1,759 | 1,474 | 255 |
| s444.2 | 3,512 | 3,379 | 1,380 | 828 | 799 |
| s444 | 9,362 | space overflow | 2,891 | 1,055 | 1,038 |

Table 3: The minimization time in seconds for the different algorithms

| Name | ordinary <br> algorithm | naive <br> algorithm | improved <br> algorithm | improved2 <br> algorithm | improved3 <br> algorithm |
| :--- | :---: | :---: | :---: | :---: | :---: |
| s2998 | $1,482,712$ | $1,919,032$ | 451,793 | 326359 | 467,705 |
| s298d2 | 151,885 | 209,452 | 85,108 | 125577 | 144,624 |
| s298d3 | 759,032 | 716,557 | 278,283 | 337834 | 362,691 |
| s 400 d 1 | $8,691,398$ | $12,521,457$ | $10,105,732$ | 5,118214 | $5,118,214$ |
| s 400 d 2 | $9,368,512$ | $12,775,984$ | $7,009,955$ | 4,820907 | $4,820,907$ |
| s 400 d 3 | $28,436,930$ | $41,089,896$ | $12,540,649$ | 10,714419 | $25,165,669$ |
| s 400 | $105,175,584$ | space overflow | $32,491,632$ | 17,740753 | $44,641,501$ |
| s 349 | $27,567,754$ | $36,747,754$ | $12,442,018$ | 2,552658 | $3,876,761$ |
| s 444.2 | $49,964,703$ | $66,788,414$ | $19,376,000$ | 17,451240 | $33,190,412$ |
| s 444 | $97,687,526$ | space overflow | $21,972,212$ | 17,168679 | $43,223,054$ |

Table 4: The maximal number of BDD nodes required by the different minimization algorithms

Acknowledgments: I want to thank to the sequential equivalence verification CAD group of Intel design technologies in Haifa, and Ziyad Hanna in particular, for the use of their system and for their helpful support.

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## A Properties of bisimulation

In this section we prove the claims presented in Section 3. Note that whenever two FSMs, $M_{1}$ and $M_{2}$, are composed, they must satisfy $O_{1} \cap \emptyset_{2}=\emptyset$.

Lemma 3.1 Let $M$ be an FSM, and let $M_{Q}$ be the quotient FSM of $M$. Let $\left(\alpha, i, \alpha^{\prime}\right)$ be an element in $R_{Q}$. Then for every state $s$ in $\alpha$ there exists a state $s^{\prime}$ in $\alpha^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$.

Proof :Assume that $\left(\alpha, i, \alpha^{\prime}\right) \in R_{Q}$. Let $H \subseteq S \times S$ be the maximal bisimulation relation over $M \times M$. The definition of a quotient FSM implies that there are states $t, t^{\prime}$ in $S$ such that $t \in \alpha, t^{\prime} \in \alpha^{\prime}$ and $\left(t, i, t^{\prime}\right) \in R$. Let $s$ be a state in $\alpha$. Since $s$ and $t$ are in the same equivalence class, $(t, s) \in H$. Thus, there exists a state $s^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$ and $\left(t^{\prime}, s^{\prime}\right) \in H$. Since $\left(t^{\prime}, s^{\prime}\right) \in H, t^{\prime}$ and $s^{\prime}$ are in the same equivalence class, $s^{\prime} \in \alpha^{\prime}$.

Proposition A. 1 If $M$ is deterministic, then $M_{Q}$ is deterministic.
Lemma 3.3 $M$ is minimized iff the maximal bisimulation relation over $M \times M$ contains exactly the identity pairs.

Proof : For the first direction, assume that $H$ is the maximal bisimulation over $M \times M$ and that $H$ contains exactly the identity pairs. Then every equivalence class contains exactly one state. Let $M_{Q}$ be the quotient FSM of $M$. We define a function $f: S \rightarrow S_{Q}$ as follows: $f(s)=\alpha$ iff $s$ is in
$\alpha$. Obviously $f$ is a total and onto function. Since every equivalence class contains exactly one state, $f$ is also one to one. Furthermore, by Lemma 3.1 and the definition of quotient $\operatorname{FSM},\left(s, i, s^{\prime}\right) \in R$ iff $\left(f(s), i, f\left(s^{\prime}\right)\right) \in R_{Q}$. Thus, $M$ and $M_{Q}$ are isomorphic and $M$ is minimized.

For the second direction, assume that there is a pair $\left(s_{1}, s_{2}\right) \in H$ such that $s_{1} \neq s_{2}$. Then $s_{1}, s_{2}$ are in the same equivalence class. Since the equivalence classes partition the states set and at least one class contains more than one state, $\left|S_{Q}\right|<|S|$. Thus $M$ and $M_{Q}$ are not isomorphic.

Lemma A. 2 Let $M$ be an $F S M$. The identity relation $H_{I D}=\{(s, s) \mid s \in$ $S\}$ is a bisimulation relation over $M \times M$.

## Proof :

- For every $s_{0} \in S_{0},\left(s_{0}, s_{0}\right) \in H_{I D}$.

Let $(s, s)$ be a pair in $H_{I D}$ :

- $L(s)=L(s)$.
- Let $\left(s, i, s^{\prime}\right)$ be an element in $R$. Then $\left(s, i, s^{\prime}\right)$ is an element in $R$, and $\left(s^{\prime}, s^{\prime}\right) \in H_{I D}$.

Lemma A. 3 Let $M_{Q}$ be the quotient FSM of $M$, and let $H_{Q Q}$ be the maximal bisimulation relation over $M_{Q} \times M_{Q}$. Let
$H_{q}=\left\{\left(s_{1}, s_{2}\right) \mid\left(\left[s_{1}\right],\left[s_{2}\right]\right) \in H_{Q Q}\right\}$. Then $H_{q}$ is a bisimulation relation over $M \times M$.

## Proof :

- By the definition of the quotient FSM, for every $s_{0} \in S_{0},\left[s_{0}\right] \in S_{0 Q}$. Since $\left(\left[s_{0}\right],\left[s_{0}\right]\right) \in H_{Q Q},\left(s_{0}, s_{0}\right) \in H_{q}$.

Let $\left(s_{1}, s_{2}\right)$ be a pair in $H_{q}$.

- $\left(\left[s_{1}\right],\left[s_{2}\right]\right) \in H_{Q Q}$ implies that $L_{Q}\left(\left[s_{1}\right]\right)=L_{Q}\left(\left[s_{2}\right]\right)$ which, implies that $L\left(s_{1}\right)=L\left(s_{2}\right)$.
- Let $\left(s_{1}, i, s_{1}^{\prime}\right)$ be an element in $R$. Then $\left(\left[s_{1}\right], i,\left[s_{1}^{\prime}\right]\right) \in R_{Q}$. Since $\left(\left[s_{1}\right],\left[s_{2}\right]\right) \in H_{Q Q}$, there exists a class $\alpha_{2}^{\prime}$ such that $\left(\left[s_{2}\right], i, \alpha_{2}^{\prime}\right) \in R_{Q}$ and $\left(\left[s_{1}^{\prime}\right], \alpha_{2}^{\prime}\right) \in H_{Q Q}$. $\left(\left[s_{2}\right], i, \alpha_{2}^{\prime}\right) \in R_{Q}$, together with Lemma 3.1, implies that there exists a state $s_{2}^{\prime}$ such that $\left(s_{2}, i, s_{2}^{\prime}\right) \in R$. The definition of $H_{q}$ implies $\left(s_{1}^{\prime}, s_{2}^{\prime}\right) \in H_{q}$.
- Similarly, we can prove that for every successor $s_{2}^{\prime}$ of $s_{2}$ there exists a successor $s_{1}^{\prime}$ of $s_{1}$ such that $\left(s_{1}^{\prime}, s_{2}^{\prime}\right) \in H_{q}$.

Lemma A. 4 Let $M_{Q}$ be the quotient FSM of $M$, and let $H_{Q Q}$ be the maximal bisimulation relation over $M_{Q} \times M_{Q}$. Then $H_{Q Q}$ is the identity relation.

Proof : Lemma A. 2 implies that the identity relation is a bisimulation relation over $M_{Q} \times M_{Q}$, and thus it is contained in $H_{Q Q}$. Assume to the contrary that $H_{Q Q}$ contains a pair ( $\alpha_{1}, \alpha_{2}$ ) such that $\alpha_{1} \neq \alpha_{2}$. Let $s_{1}$ and $s_{2}$ be states in $\alpha_{1}$ and $\alpha_{2}$ respectively and let $H_{q}$ be the relation defined in Lemma A.3. By the definition of $H_{q},\left(s_{1}, s_{2}\right) \in H_{q}$. By Lemma A.3, $H_{q}$ is a bisimulation over $M \times M$, and thus ( $s_{1}, s_{2}$ ) is an element in the maximal bisimulation over $M \times M$. This implies that $s_{1}$ and $s_{2}$ are in the same equivalence class, a contradiction.

Corollary A. 5 Every quotient FSM is minimized.
For the rest of this paper, we will use the term "minimized FSM" for quotient FSM.

Lemma 3.4 Let $M$ be an FSM and $M_{Q}$ be the quotient FSM of $M$ with respect to $O^{\prime}$. Then $M$ and $M_{Q}$ are bisimulation equivalent with respect to $O^{\prime}$.

Proof: Let $H_{Q} \subseteq S \times S_{Q}$ be the following relation: $H_{Q}=\{(s, \alpha) \mid s$ is in $\alpha\}$. We prove that $H_{Q}$ is a bisimulation relation.

- By the definition of the quotient FSM, for every $s_{0} \in S_{0}, s_{0}$ is in $\alpha_{0} \in S_{0 Q}$. Similarly, for every $\alpha_{0} \in S_{0 Q}$ there exists $s_{0} \in S_{0}$ such that $s_{0} \in \alpha_{0}$.

Let $(s, \alpha)$ be a pair in $H_{Q}$ :

- By the definition of the quotient FSM, $L(s) \cap O^{\prime}=L_{Q}(\alpha)$.
- Let $\left(s, i, s^{\prime}\right)$ be an element in $R$. Let $\alpha^{\prime}$ be the equivalence class of $s^{\prime}$. Then by the definition of the quotient FSM, $\left(\alpha, i, \alpha^{\prime}\right) \in R_{Q}$, and by the definition of $H_{Q},\left(s^{\prime}, \alpha^{\prime}\right) \in H_{Q}$.
- Let ( $\alpha, i, \alpha^{\prime}$ ) be an element in $R_{Q}$. By Lemma 3.1, there exists a state $s^{\prime}$ such that $\left(s, i, s^{\prime}\right) \in R$ and $s^{\prime}$ is in $\alpha^{\prime}$. Thus $\left(s^{\prime}, \alpha^{\prime}\right) \in H_{Q}$.

Lemma A. 6 Let $M_{1}$ and $M_{2}$ be two FSMs that are bisimulation equivalent. Let $H \subseteq S_{1} \times S_{2}$ be a bisimulation relation over $M_{1} \times M_{2}$. Then the relation $H^{\prime}=\left\{\left(s_{1}, s_{1}^{\prime}\right) \mid\right.$ there exists $s_{2} \in S_{2}$ such that $\left(s_{1}, s_{2}\right) \in H$ and $\left(s_{1}^{\prime}, s_{2}\right) \in$ $H\}$ is a bisimulation relation over $M_{1}$ with respect to $O_{1} \cap O_{2}$.

Proof: We prove that $H^{\prime}$ is a bisimulation relation.

- Since $H$ is a bisimulation relation, for every initial state $s_{01} \in S_{01}$ there exists an initial state $s_{02} \in S_{02}$ such that $\left(s_{01}, s_{02}\right) \in H$. Thus, for every initial state $s_{01} \in S_{01},\left(s_{01}, s_{01}\right) \in H^{\prime}$.

For every pair $\left(s_{1}, s_{1}^{\prime}\right) \in H^{\prime}$, the following holds:

- Since $\left(s_{1}, s_{1}^{\prime}\right) \in H^{\prime}$, there exists a state $s_{2} \in S_{2}$ such that $\left(s_{1}, s_{2}\right) \in H$ and $\left(s_{1}^{\prime}, s_{2}\right) \in H$. This implies that $L_{1}\left(s_{1}\right) \cap\left(O_{1} \cap O_{2}\right)=L_{2}\left(s_{2}\right) \cap$ $\left(O_{1} \cap O_{2}\right)=L_{1}\left(s_{1}^{\prime}\right) \cap\left(O_{1} \cap O_{2}\right)$.
- Let $\left(s_{1}, i, t_{1}\right)$ be a transition in $R_{1}$. Since $\left(s_{1}, s_{1}^{\prime}\right) \in H^{\prime}$, there exists a state $s_{2} \in S_{2}$ such that $\left(s_{1}, s_{2}\right) \in H$ and $\left(s_{1}^{\prime}, s_{2}\right) \in H$. Since $H$ is a bisimulation, there exists a state $t_{2} \in S_{2}$ such that $\left(s_{2}, i, t_{2}\right) \in R_{2}$ and $\left(t_{1}, t_{2}\right) \in H$. This implies that there exists a state $t_{1}^{\prime} \in S_{1}$ such that $\left(s_{1}^{\prime}, i, t_{1}^{\prime}\right) \in R_{1}$ and $\left(t_{1}^{\prime}, t_{2}\right) \in H$. Thus $\left(t_{1}, t_{1}^{\prime}\right) \in H^{\prime}$.
- Similarly, for every transition $\left(s_{1}^{\prime}, i, t_{1}^{\prime}\right) \in R_{1}$ there exists a transition $\left(s_{1}, i, t_{1}\right) \in R_{1}$ such that $\left(t_{1}, t_{1}^{\prime}\right) \in H^{\prime}$.

Lemma 3.5 Let $M$ be an FSM and $M_{Q}$ be the quotient FSM of $M$ with respect to $O^{\prime}$. Then $M_{Q}$ is the smallest (in number of states and transitions) FSM which is bisimulation equivalent to $M$ with respect to $O^{\prime}$.

Proof: First we prove that $M_{Q}$ is smallest with respect to the number of states. Assume to the contrary that there exists an FSM $M^{\prime}$ that is bisimulation equivalent to $M$ and smaller than $M_{Q}$. Since bisimulation is transitive, $M_{Q}$ and $M^{\prime}$ are bisimulation equivalent. Let $H$ be a bisimulation relation over $M_{Q} \times M^{\prime}$. Then, there exist two different states $s_{q}$ and $t_{q}$ in $S_{Q}$ that are equivalent to the same state in $M^{\prime}$. Let $H_{q}$ be the relation $H_{q}=$ $\left\{\left(s_{q}, t_{q}\right) \mid\right.$ there exists $s^{\prime} \in S^{\prime}$ such that $\left(s_{q}, s^{\prime}\right) \in H$ and $\left.\left(t_{q}, s^{\prime}\right) \in H\right\}$. By Lemma A. $6, H_{q}$ is a bisimulation relation. Thus $s_{q}$ and $t_{q}$ are bisimulation equivalent, contradicting Lemma A.4.

Next, we prove that $M_{Q}$ is smallest with respect to number of transitions. Assume to the contrary that there exists an FSM $M^{\prime}$ that is bisimulation
equivalent to $M$ and smaller than $M_{Q}$. Since bisimulation is transitive, $M_{Q}$ and $M^{\prime}$ are bisimulation equivalent. Let $H$ be a bisimulation relation over $M_{Q} \times M^{\prime}$. Since the number of states in $M_{Q}$ is not larger than the number of states in $M^{\prime}$, there exists a pair $\left(s_{q}, s^{\prime}\right) \in H$ such that the number of transitions from $s_{q}$ is greater than the number of transitions from $s^{\prime}$. Since for every transition $\left(s^{\prime}, i, t^{\prime}\right) \in R^{\prime}$ there exists a matching transition from $s_{q}$, there exists a transition $\left(s^{\prime}, i, t^{\prime}\right) \in R^{\prime}$ having two transitions $\left(s_{q}, i, t_{q 1}\right)$ and $\left(s_{q}, i, t_{q 2}\right)$ in $R_{q}$ which match it. This implies that $\left(t_{q 1}, t^{\prime}\right) \in H$ and $\left(t_{q 2}, t^{\prime}\right) \in H$. Let $H_{q}$ be the relation $H_{q}=\left\{\left(s_{q}, t_{q}\right) \mid\right.$ there exists $s^{\prime} \in$ $S^{\prime}$ such that $\left(s_{q}, s^{\prime}\right) \in H$ and $\left.\left(t_{q}, s^{\prime}\right) \in H\right\}$. By Lemma A. $6, H_{q}$ is a bisimulation relation. Thus $t_{q 1}$ and $t_{q 2}$ are bisimulation equivalent, contradicting Lemma A.4.

## A. 1 Composition and bisimulation

Next we present some properties of composition and bisimulation.
Lemma A. 7 Let $M=M_{1} \| M_{2}$ and let $H_{1}$ and $H_{2}$ be the maximal bisimulation relations over $M_{1} \times M_{1}$ and $M_{2} \times M_{2}$ with respect to $O_{1}$ and $O_{2}$ respectively. Let $H$ be the relation $H=\left\{\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \mid\left(s_{1}, t_{1}\right) \in H_{1},\left(s_{2}, t_{2}\right) \in\right.$ $\left.H_{2}\right\}$. Then $H$ is a bisimulation over $M \times M$.

## Proof :

- Let $\left(s_{10}, s_{20}\right) \in S_{0}$. Since $\left(s_{10}, s_{10}\right) \in H_{1}$ and $\left(s_{20}, s_{20}\right) \in H_{2}$, $\left(\left(s_{10}, s_{20}\right),\left(s_{10}, s_{20}\right)\right) \in H$.

Let $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right)$ be a pair in $H$.

- By the definition of $H,\left(s_{1}, t_{1}\right) \in H_{1}$ and $\left(s_{2}, t_{2}\right) \in H_{2}$. Thus $L_{1}\left(s_{1}\right)=$ $L_{1}\left(t_{1}\right)$ and $L_{2}\left(s_{2}\right)=L_{2}\left(t_{2}\right)$. Since $O_{1} \cap O_{2}=\emptyset, L\left(\left(s_{1}, s_{2}\right)\right)=L\left(\left(t_{1}, t_{2}\right)\right)$.
- Let $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right)$ be an element in $R$. By the definition of composition, $\left(s_{1},\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}, s_{1}^{\prime}\right) \in R_{1}$ and $\left(s_{2},\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}, s_{2}^{\prime}\right) \in R_{2}$. Since $\left(s_{1}, t_{1}\right) \in H_{1}$ and $L_{2}\left(s_{2}\right)=L_{2}\left(t_{2}\right)$, there exists a state $t_{1}^{\prime}$ such that $\left(t_{1},\left(i \cup L_{2}\left(t_{2}\right)\right) \cap I_{1}, t_{1}^{\prime}\right) \in R_{1}$ and $\left(s_{1}^{\prime}, t_{1}^{\prime}\right) \in H_{1}$. Similarly, there exists a state $t_{2}^{\prime}$ such that $\left(t_{2},\left(i \cup L_{1}\left(t_{1}\right)\right) \cap I_{2}, t_{2}^{\prime}\right) \in R_{2}$ and $\left(s_{2}^{\prime}, t_{2}^{\prime}\right) \in H_{2}$. The definition of composition implies that $\left(\left(t_{1}, t_{2}\right), i,\left(t_{1}^{\prime}, t_{2}^{\prime}\right)\right) \in R$ and by the definition of $H,\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(t_{1}^{\prime}, t_{2}^{\prime}\right)\right) \in H$.
- In a similar way we can show that for every successor $\left(t_{1}^{\prime}, t_{2}^{\prime}\right)$ of $\left(t_{1}, t_{2}\right)$ there exists a successor $\left(s_{1}^{\prime}, s_{2}^{\prime}\right)$ of $\left(s_{1}, s_{2}\right)$ such that $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(t_{1}^{\prime}, t_{2}^{\prime}\right)\right) \in$ $H$.

Lemma A. 8 If $M=M_{1} \| M_{2}$ is minimized, then $M_{1}$ and $M_{2}$ are also minimized.

Proof : Assume to the contrary that the lemma does not hold. W.l.o.g. assume that $M_{1}$ is not minimized. By Lemma 3.3, there are two different states $s_{1}, t_{1}$ such that $\left(s_{1}, t_{1}\right) \in H_{1}$. Since every bisimulation relation contains the identity pairs, there exists a state $s_{2}$ such that $\left(s_{2}, s_{2}\right) \in H_{2}$. Let $H$ be the relation defined in Lemma A.7. Then $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, s_{2}\right)\right) \in$ $H$. By Lemma A.7, $H$ is a bisimulation relation, and thus it is contained in the maximal bisimulation relation over $M \times M$. This implies that $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, s_{2}\right)\right)$ is an element in the maximal bisimulation relation. By Lemma 3.3, $M$ is not minimized, a contradiction.

Lemma A. 9 Let $M=M_{1} \| M_{2}$ and $H$ be a bisimulation over $M \times M$. If $O_{2} \cap I_{1}=\emptyset$, then the relation $H_{1}=\left\{\left(s_{1}, t_{1}\right) \mid s_{1}, t_{1} \in S_{1}\right.$ and $\exists s_{2}, t_{2}\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \in$ $H\}$ is a bisimulation relation over $M_{1} \times M_{1}$.

## Proof :

- Let $s_{10} \in S_{10}$ and $s_{20} \in S_{20}$. Since $\left(\left(s_{10}, s_{20}\right),\left(s_{10}, s_{20}\right)\right) \in H,\left(s_{10}, s_{10}\right) \in$ $H_{1}$.

Let $\left(s_{1}, t_{1}\right)$ be a pair of states such that $\left(s_{1}, t_{1}\right) \in H_{1}$ and let $s_{2}, t_{2}$ be states such that $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \in H$.

- $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \in H$ implies that $L\left(\left(s_{1}, s_{2}\right)\right)=L\left(\left(t_{1}, t_{2}\right)\right)$. Since $O_{1} \cap$ $O_{2}=\emptyset$, we conclude that $L_{1}\left(s_{1}\right)=L_{1}\left(t_{1}\right)$.
- Let $\left(s_{1}, i_{1}, s_{1}^{\prime}\right)$ be an element in $R_{1}$. Since $O_{2} \cap I_{1}=\emptyset, I_{1} \subseteq I$. Let $i \subseteq I$ be such that $i_{1}=i \cap I_{1}$. Since $O_{2} \cap I_{1}=\emptyset,\left(i \cup L_{2}\left(s_{2}\right)\right) \cap I_{1}=$ $i \cap I_{1}=i_{1}$. Let $s_{2}^{\prime}$ be a state such that $\left(s_{2},\left(i \cup L_{1}\left(s_{1}\right)\right) \cap I_{2}, s_{2}^{\prime}\right) \in$ $R_{2}$. Such an $s_{2}^{\prime}$ exists by the receptiveness of Moore machines. Then $\left(\left(s_{1}, s_{2}\right), i,\left(s_{1}^{\prime}, s_{2}^{\prime}\right)\right) \in R$. Since $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \in H$, there exists a state $\left(t_{1}^{\prime}, t_{2}^{\prime}\right)$ such that $\left(\left(t_{1}, t_{2}\right), i,\left(t_{1}^{\prime}, t_{2}^{\prime}\right)\right) \in R$ and $\left(\left(s_{1}^{\prime}, s_{2}^{\prime}\right),\left(t_{1}^{\prime}, t_{2}^{\prime}\right)\right) \in$ $H$. This implies that $\left(t_{1}, i_{1}, t_{1}^{\prime}\right) \in R_{1}$. By the definition of $H_{1},\left(s_{1}^{\prime}, t_{1}^{\prime}\right) \in$ $H_{1}$.
- In a similar way we can show that for every successor $t_{1}^{\prime}$ of $t_{1}$ there exists a successor $s_{1}^{\prime}$ of $s_{1}$ such that $\left(s_{1}^{\prime}, t_{1}^{\prime}\right) \in H_{1}$.

Lemma 3.7 Let $M_{1}$ and $M_{2}$ be minimized FSMs. If $O_{1} \cap I_{2}=\emptyset$ and $O_{2} \cap I_{1}=\emptyset$, then $M=M_{1} \| M_{2}$ is minimized.

Proof Let $H$ be the maximal bisimulation over $M \times M$. Assume to the contrary that the lemma does not hold. Then, by Lemma 3.3, there are two different states $\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)$ such that $\left(\left(s_{1}, s_{2}\right),\left(t_{1}, t_{2}\right)\right) \in H$. Since $\left(s_{1}, s_{2}\right) \neq\left(t_{1}, t_{2}\right)$, either $s_{1} \neq t_{1}$ or $s_{2} \neq t_{2}$. We assume w.l.o.g. that $s_{1} \neq t_{1}$. Let $H_{1}$ be the relation defined in Lemma A.9. By Lemma A.9, $H_{1}$ is a bisimulation. By the definition of $H_{1},\left(s_{1}, t_{1}\right) \in H_{1}$. By Lemma 3.3, $M_{1}$ is not minimized, a contradiction.


[^0]:    ${ }^{1}$ Recall that $I=\left(I_{1} \backslash O_{2}\right) \cup\left(I_{2} \backslash O_{1}\right)$.

